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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: JOHN ALAN GERVAIS ET AL.

erial No. : 10/520,854

Filed: January 11, 2005

For : APPLICATION LEVEL GATEWAY AND FIREWALL

RULE SET DOWNLOAD VALIDATION

Art Unit : 2665

## **INFORMATION DISCLOSURE STATEMENT**

[X] Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

[ ] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

[ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]

Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)

[before issue fee payment]

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1.98:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 &

[X] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

[ ] 8 The required certification made in item 11 below; or

[ ] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[ ] 10 37 CFR§ 1.97(d): [before issue fee payment]; and

(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_\_\_"), and

(b) The required Certification is stated in item 11 below.

## [X] 11 Certification

- [X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X] 14 It is our understanding that there is no fee associated with the filing of this Information Disclosure Statement. However, if a fee is due, please charge the applicable fee to Deposit Account No. <u>07-0832</u>. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,
JOHN ALAN GERVAIS ET AL.

BY:

Guy H. Eriksen, Attorney Registration No. 41,736 (609) 734-6807

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Enclosures

October 28, 2005

## **Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date: Votuler 28, 2005

Signature\_\_\_

Patricia M. Fedorowyc

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PTO/SB/08a (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/520,854

Filing Date January 11, 2005

First Named Inventor John Alan Gervais

Art Unit 2665

Examiner Name Not yet assigned

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number PU020335

U.S. PATENT DOCUMENTS								
Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
Initials *		Number - Kind Code <sup>2</sup> (if known)			Passages or Relevant Figures Appear			
		US-5,845,128		Kenton E. Noble et al.				
		US- 6,031,830		Paul A. Cowan	:			
		US-						
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		US-		(EPO Search Report completed on 08/19/05 attached)				
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY			Т <sup>6</sup>			
		PCT - WO 01/80023	10/25/01	M. O'Brien et al.					
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Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.